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10550 U.S. PTO

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A  
PTO/SB/05 (4/98)Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53 (b))

Attorney Docket No. 001407

First Inventor or Application Identifier

Hummel et al.

Title Optical System

Express Mail label No. EL 566159895 US

APPLICATION ELEMENTS  
See MPEP chapter 600 concerning utility patent application contents

1.  \*Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2.  Specification [Total Pages 25]

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the disclosure

3.  Drawing(s)(35 U.S.C.113) [Total Sheets 4]

4. Oath or Declaration [Total Pages 4]

a.  Newly executed (original or copy) (unsigned)

b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)

i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

**NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. §128).**

PTO  
01/05/01  
ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

5.  Microfiche Computer Program (Appendix)

6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a.  Computer Readable Copy

b.  Paper Copy (identical to computer copy)

c.  Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7.  Assignment Papers (cover sheet & document(s))

8.  37 C.F.R. §3.73(b) Statement (when there is an assignee)  Power of Attorney

9.  English Translation Document (if applicable)

10.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

11.  Preliminary Amendment

12.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

13.  \*Small Entity Statement(s)  Statement filed in prior application,  
Status still proper and desired  
(PTO/B/09-12)

14.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

15.  Other: .....

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation  Divisional  Continuation-in-part (CIP)

of prior application No: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group/Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label  (Insert Customer Number or Bar Code label here) or  Correspondence address below

Name	Jovan N. Jovanovic FACTOR & PARTNERS, LLC PATENT TRADEMARK OFFICE			
Address	100 West Monroe St., Suite 300			
City	Chicago	State	IL	Zip Code
Country	USA	Telephone	312-578-0400	Fax

Name (Print/Type)	Jovan N. Jovanovic	Registration No. (Attorney/Agent)	40039
Signature	1-5-01		

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# FEE TRANSMITTAL

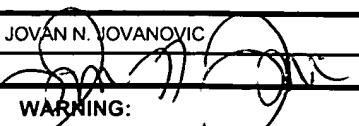
## for FY 2001

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 AND 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 750.00)

Complete if Known

Application Number	
Filing Date	January 5, 2001
First Named Inventor	Hummel et al.
Examiner Name	
Group/Art Unit	
Attorney Docket No.	001407

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)					
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:		3. ADDITIONAL FEES					
Deposit Account Number	50-0545	Large Entity Fee	Small Entity Fee	Fee Description			Fee Paid
		Code (\$)	Code (\$)	Fee	Fee	Fee	
		105	130	205	65	Surcharge - late filing fee or oath	
		127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
		139	130	139	130	Non-English specification	
		147	2,520	147	2,520	For filing a request for reexamination	
2. <input checked="" type="checkbox"/> Payment Enclosed:		112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
		115	110	215	55	Extension for reply within first month	
		116	380	216	190	Extension for reply within second month	
		117	870	217	435	Extension for reply within third month	
		118	1,360	218	680	Extension for reply within fourth month	
		128	1,850	228	925	Extension for reply within fifth month	
		119	300	219	150	Notice of Appeal	
		120	300	220	150	Filing a brief in support of an appeal	
		121	260	221	130	Request for oral hearing	
		138	1,510	138	1,510	Petition to institute a public use proceeding	
		140	110	240	55	Petition to revive - unavoidable	
		141	1,210	241	605	Petition to revive - unintentional	
		142	1,210	242	605	Utility issue fee (or reissue)	
		143	430	243	215	Design issue fee	
		144	580	244	290	Plant issue fee	
		122	130	122	130	Petitions to the Commissioner	
		123	50	123	50	Petitions related to provisional applications	
		126	240	126	240	Submission of Information Disclosure Stmt	
		581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
		146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))	
		149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))	
		Other fee (specify)					
		Other fee (specify)					
		*Reduced by Basic Filing Fee Paid			SUBTOTAL (3) (\$ 40.00)		
SUBMITTED BY <span style="float: right;">Complete (if applicable)</span>							
Name (Print/Type)	JOVAN N. JOVANOVIC		Registration No. (Attorney/Agent)	40039	Telephone	312-578-0400	
Signature					Date	1-5-00	

## WARNING:

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